



Attorney Docket No.: 5308-389

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Saxler
Application No.: 10/772,882
Filed: February 5, 2004
For: NITRIDE HETEROJUNCTION TRANSISTORS HAVING CHARGE-TRANSFER
INDUCED ENERGY BARRIERS AND METHODS OF FABRICATING THE SAME.

Date: October 22, 2004

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)

Sir:

Attached is a list of documents on Form PTO-1449. A copy of any listed U.S. patent and/or U.S. patent application publication is not provided herewith in accordance with the waiver by the U.S. Patent and Trademark Office of requirements under 37 C.F.R. § 1.98(a)(2)(i) for all U.S. national patent applications filed after June 30, 2003 and for all international applications that have entered the national stage under 35 USC § 371 after June 30, 2003. It is requested that these documents be considered by the Examiner and officially made of record in accordance with the provisions of 37 C.F.R. § 1.56 and Section 609 of the MPEP.

This Information Disclosure Statement is submitted in accordance with 37 C.F.R. § 1.97(b), within three months of the filing date of the above-referenced application or before the mailing of a first Office Action on the merits, whichever event occurs last. Therefore, no fee is believed due. However, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 50-0220.

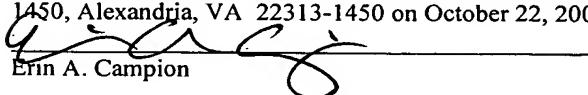
Respectfully submitted,


Elizabeth A. Stanek
Registration No. 48,568

Customer No. 20792
Myers Bigel Sibley & Sajovec, P.A.
P. O. Box 37428
Raleigh, North Carolina 27627
Telephone: (919) 854-1400
Facsimile: (919) 854-1401

Certificate of Mailing under 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on October 22, 2004


Erin A. Campion

| | | | | | |
|--|----|-------------|----|--------------------------|--------------------|
| Substitute form 1449A/PTO | | OCT 25 2004 | | <i>Complete if Known</i> | |
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT <small>(use as many sheets as necessary)</small> | | | | Application Number | 10/772,882 |
| | | | | Filing Date | February 5, 2004 |
| | | | | First Named Inventor | Saxler |
| | | | | Group Art Unit | 2811 |
| | | | | Examiner Name | Jerome Jackson Jr. |
| Sheet | A1 | of | A1 | Attorney Docket Number | 5308-389 |

U.S. PATENTS AND PATENT PUBLICATIONS

FOREIGN PATENT DOCUMENTS

| Examiner Initials* | Cite No. | Foreign Patent Document | | | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document MM-DD-YYYY | T |
|--------------------|----------|-------------------------|--------|-------------------------|---|---|---|
| | | Office | Number | Kind Code (if known) | | | |
| | | | | | | | |

OTHER NON PATENT LITERATURE DOCUMENTS

Examiner Signature _____ Date Considered _____

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.